

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicant: Peng Cho TANG et al.
Title: INDOLINONE COMBINATORIAL LIBRARIES AND RELATED
PRODUCTS AND METHODS FOR THE TREATMENT OF
DISEASE
Prior Appl. No.: 10/076,621
Prior Appl. Filing Date: 02/19/2002
Examiner: Unassigned
Art Unit: Unassigned

INFORMATION DISCLOSURE STATEMENT
UNDER 37 CFR §1.56

Mail Stop PATENT APPLICATION
Commissioner for Patents
PO Box 1450
Alexandria, Virginia 22313-1450

Sir:

The USPTO has waived the requirement under 37 CFR 1.98(a)(2)(i) to submit copies of U.S. patents and U.S. patent application publications when citing and submitting an Information Disclosure Statements in a patent application filed after June 30, 2003 and in an international application that has entered the national stage under 37 USC §371 after June 30, 2003. Accordingly, copies of these types of documents are not being supplied in connection with this application. Reference is being made to Pre-OG Notice from Office of Patent Legal Administration dated July 25, 2003, *Information Disclosure Statements May Be Filed Without Copies of U.S. Patents and Published Applications in Patent Applications filed after June 30, 2003.*

Applicants submit herewith on Form PTO/SB/08 a listing of the documents cited by or submitted to the U.S. PTO in parent application Serial No. 10/076,621, filed 02/19/2002, which is a continuation of application Serial No. 09/617,529, filed 07/13/2000, which is a divisional of application Serial No. 08/915,366, filed 08/20/1997. As provided in 37 CFR

§1.98(d), copies of the documents are not being provided since they were previously submitted to the United States Patent & Trademark Office in the above-identified parent applications.

The submission of any document herewith, which is not a statutory bar, is not intended as an admission that such document constitutes prior art against the claims of the present application or that such document is considered material to patentability as defined in 37 CFR §1.56(b). Applicants do not waive any rights to take any action which would be appropriate to antedate or otherwise remove as a competent reference any document which is determined to be a *prima facie* art reference against the claims of the present application.

TIMING OF THE DISCLOSURE

The listed documents are being submitted in compliance with 37 CFR §1.97(b), within three (3) months of the filing date of the application.

Applicants respectfully request that any listed document be considered by the Examiner and be made of record in the present application and that an initialed copy of Form PTO/SB/08 be returned in accordance with MPEP §609.

The Commissioner is hereby authorized to charge any additional fees which may be required regarding this application under 37 CFR §§ 1.16-1.17, or credit any overpayment, to Deposit Account No. 19-0741. Should no proper payment be enclosed herewith, as by a check being in the wrong amount, unsigned, post-dated, otherwise improper or informal or even entirely missing, the Commissioner is authorized to charge the unpaid amount to Deposit Account No. 19-0741.

Date

2/24/04

FOLEY & LARDNER

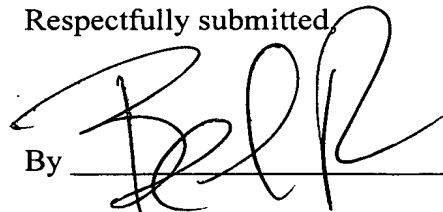
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Respectfully submitted,

By



Beth A. Burrous

Attorney for Applicant

Registration No. 35,087

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Substitute for form 1449B/PTO INFORMATION DISCLOSURE STATEMENT BY APPLICANT Date Submitted: February 24, 2004 <i>(use as many sheets as necessary)</i>				Complete if Known	
				Prior Application Number	10/076,621
				Prior Appl. Filing Date	02/19/2002
				First Named Inventor	Peng Cho TANG
				Group Art Unit	Unassigned
				Examiner Name	Unassigned
				Attorney Docket Number	034536-1150
Sheet	1	of	26		

U.S. PATENT DOCUMENTS						
Examiner Initials*	Cite No. ¹	U.S. Patent Document		Name of Patentee or Applicant of Cited Document	Date of Publication of Cited Document MM-DD-YYYY	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear
		Number	Kind Code ² (if known)			
	A1	Re 36,256		Spada et al.	07/20/1999	
	A2	2,872,372		Hull	02/03/1959	
	A3	2,968,557		Burgardt et al.	01/17/1961	
	A4	4,002,749		Rovnyak	01/11/1977	
	A5	4,053,613		Rovnyak et al.	10/11/1977	
	A6	4,376,110		David et al.	03/08/1983	
	A7	4,642,309		Michel et al.	02/10/1987	
	A8	4,826,847		Michel et al.	05/02/1989	
	A9	4,853,403		Shiraishi et al.	08/01/1989	
	A10	4,868,304		Larock et al.	09/19/1989	
	A11	4,966,849		Vallee et al.	10/30/1990	
	A12	4,971,996		Shiraishi et al.	11/20/1990	
	A13	5,051,417		Nadler et al.	09/24/1991	
	A14	5,057,538		Shiraishi et al.	10/15/1991	
	A15	5,089,516		Shiraishi et al.	02/18/1992	
	A16	5,124,347		Connor et al.	06/23/1992	
	A17	5,202,341		Shiraishi et al.	04/13/1993	
	A18	5,206,261		Kawaguchi et al.	04/1993	

Examiner Signature	Date Considered
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	A19	5,217,999		Levitzki et al.	06/08/1993	
	A20	5,302,606		Spada et al.	04/12/1994	
	A21	5,322,950		Sircar et al.	06/1994	
	A22	5,330,992		Eissenstat et al.	07/19/1994	
	A23	5,374,652		Buzzetti et al.	12/20/1994	
	A24	5,382,593		Le Baut	01/1995	
	A25	5,397,787		Buzzetti et al.	03/14/1995	
	A26	5,409,949		Buzzetti et al.	04/1995	
	A27	5,463,052		Haga et al.	10/31/1995	
	A28	5,786,488		Tang et al.	07/28/1998	
	A29	5,792,783		Tang	08/11/1998	
	A30	5,840,745		Buzzetti et al.	11/24/1998	
	A31	5,849,710		Battistini et al.	12/15/1998	
	A32	5,880,141		Tang et al.	03/09/1999	
	A33	5,883,113		Tang et al.	03/16/1999	
	A34	5,883,116		Tang et al.	03/16/1999	
	A35	5,886,020		Tang et al.	03/23/1999	
	A36	6,130,238		Tang et al.	10/2000	
	A37	6,147,106		Tang et al.	11/2000	

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		Office ³	Number ⁴	Kind Code ⁵ (if known)				
	A38	AU	286870		IMPERIAL CHEMICAL INDUSTRIES OF AUSTRALIA AND NEW ZEALAND LIMITED	05-11-1967		
	A39	CA	2,012,634	A1	UNIVERSITY OF BRITISH COLUMBIA	09-20-1991		
	A40	DE	878,539		Von FREYBERG, et al.	06-05-1953		X
	A41	DE	2,159,360	A	BAYER AG	06-14-1973		X
	A42	DE	2,159,361	A	BAYER AG	06-14-1973		X
	A43	DE	2,159,362		BAYER AG	06-14-1973		
	A44	DE	2,159,363	A	BAYER AG	06-14-1973		X
	A45	DE	2,321,656	A	COLGATE-PALMOLIVE CO.	11-15-1973		X
	A46	DE	3,426,419	A	BOEHRINGER MANNHEIM GMBH	01-23-1986		X
	A47	EP	0 252 713	B1	PFIZER INC.	01-13-1988		
	A48	EP	0 351 213	A2	LES LABORATOIRES BEECHAM S.A.	01-17-1990		
	A49	EP	0 525 472	A2	FARMITALIA CARLO ERBA SRL	02-03-1993		
	A50	EP	0 566 226	B1	ZENECA LIMITED	10-20-1993		
	A51	EP	0 580 502	B1	ADIR ET COMPAGNIE	01-26-1994		X
	A52	EP	0 626 377	B1	SHIONOGI & CO., LTD.	11-30-1994		
	A53	EP	0 632 102	A1	BAYER AG	01-04-1995		X

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		Office ³	Number ⁴	Kind Code ⁵ (if known)				
	A54	EP	0 662 473	A1	PHARMACIA S.P.A.	07-12-1995		
	A55	EP	0 788 890	A1	AGFA-GEVAERT	08-13-1997		
	A56	FR	1.398.224		IMPERIAL CHEMICAL INDUSTRIES LIMITED	05-07-1965		X
	A57	FR	2.689.397	A1	ADIR ET COMPAGNIE	10-08-1993		X
	A58	HU	65452		RICHTER GEDEON BEGYESZETI GYAR RT	06-28-1994		
	A59	JP	62-29570	A	KANEGAFUCHI CHEM KK	02-07-1987		X
	A60	JP	62-39564	A	KANEGAFUCHI CHEM KK	02-20-1987		X
	A61	JP	63-141955	A	KANEGAFUCHI CHEM KK	06-14-1988		X
	A62	JP	5-58894	A	KANEKA CORP	03-09-1993		X
	A63	GB	809,691		Roy HULL	03-04-1959		
	A64	GB	835,473		Norman SENIOR	05-18-1960		
	A65	WO	88/07035	A1	KANEGAFUCHI KAGAKU KOGYO KABUSHIKI KAISHA	09-22-1988		
	A66	WO	91/13055	A2	FARMITALIA CARLO ERBA SRL	09-05-1991		
	A67	WO	91/15495	A1	PFIZER INC.	10-17-1991		
	A68	WO	92/03736	A1	SEIKAGAKU KOGYO KABUSHIKI KAISHA	03-05-1992		
	A69	WO	92/07830	A2	PFIZER INC.	05-14-1992		
	A70	WO	92/20642	A1	RHONEPOULENC RORER INTERNATIONAL	11-26-1992		

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	A71	WO	92/21660	A1	PFIZER INC.	12-10-1992		
	A72	WO	93/01182	A1	FARMITALIA CARLO ERA SRL	01-21-1993		
	A73	WO	93/23040	A1	MERCK & CO., INC.	11-25-1993		
	A74	WO	94/03427	A1	WARNER-LAMBERT COMPANY	02-17-1994		
	A75	WO	94/10202	A1	GENENTECH, INC.	05-11-1994		
	A76	WO	94/14808	A1	FARMITALIA CARLO ERBA SRL	07-07-1994		
	A77	WO	95/01349	A1	FARMITALIA CARLO ERBA SRL	01-12-1995		
	A78	WO	95/14667	A1	PFIZER INC.	06-01-1995		
	A79	WO	95/17181	A1	PHARMACIA S.P.A.	06-29-1995		
	A80	WO	95/24190	A2	SUGEN, INC.	09-14-1995		
	A81	WO	96/00226	A1	PHARMACIA S.P.A.	01-04-1996		
	A82	WO	96/16964	A1	PHARMACIA S.P.A.	06-06-1996		
	A83	WO	96/22976	A1	PHARMACIA S.P.A.	08-01-1996		
	A84	WO	96/32380	A1	PHARMACIA S.P.A.	10-17-1996		
	A85	WO	96/40116	A1	SUGEN, INC.	12-19-1996		
	A86	WO	97/25986	A1	TAIHO PHARMACEUTICAL CO., LTD.	07-24-1997		
	A87	WO	97/36867	A1	PFIZER, INC.	10-09-1997		

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	A88	WO	98/07695	A1	SUGEN, INC.	02-26-1998		
	A89	WO	98/07835	A2	SUGEN, INC.	02-26-1998		
	A90	WO	98/45708	A1	SUGEN, INC.	10-15-1998		
	A91	WO	98/50356	A1	SUGEN, INC.	11-12-1998		
	A92	WO	98/56376	A1	SUGEN, INC.	12-17-1998		
	A93	WO	99/10325	A1	GLAXO GROUP LIMITED	03-04-1999		

NON PATENT LITERATURE DOCUMENTS			
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	A94	Abramovitch and Hey, "Internuclear cyclisation. Part VIII. Naphth[3:2:1-cd]oxindoles," <u>J. Chem. Soc.</u> pp. 1697-1703 (1954)	
	A95	Abramovitch et al., "A Novel Synthesis of a Cyclic Hydroxamic Acid Involving a Molecular Rearrangement," <u>Chemistry and Industry</u> 44:1871 (1967)	
	A96	Abramovitch, Beilstein Reg. No. 236050, <u>J. Chem. Soc.</u> , pages 1697, 1700 (1954)	
	A97	Akbasak and Suner-Akbasak et al., "Oncogenes: cause or consequence in the development of glial tumors," <u>J. Neurol. Sci.</u> 111:119-133 (1992)	
	A98	Andreani et al., "In Vivo Cardiotonic Activity of Pyridylmethylene-2-indolinones," <u>Arzneimittel-Forschung Drug Research</u> 48 (II): 727-729(1998)	
	A99	Andreani et al., "Potential antitumor agents. 25[1]. Synthesis and cytotoxic activity of 3-(2-chloro-3-indolylmethylene) 1,3-Dihydroindol-2-ones," <u>AntiCancer Research</u> 16:3585-3588 (1996)	
	A100	Andreani et al., "Synthesis and cardiotonic activity of 2-indolinones," <u>Eur. J. Med. Chem.</u> 25:187-190 (1990)	
	A101	Andreani et al., "Synthesis and cardiotonic activity of 2-indolinones," <u>Chemical Abstracts</u> , Vol. 113, abstract no. 78106 (1990)	

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	A102	Andreani et al., "Synthesis and cardiotonic activity of 2-indolinones bearing pyridyl groups," <u>Eur. J. Med. Chem.</u> 28:653-657 (1993)	
	A103	Andreani et al., "Synthesis and cardiotonic activity of pyridylmethylene-2-indolinones," <u>Eur. J. Med. Chem.</u> 27:167-170 (1992)	
	A104	Andreani et al., "Synthesis and potential coanthracyclinic activity of substituted 3-(5-imidazo [2, 1-b]thiazolymethylene)-2-indolinones," <u>Eur. J. Med. Chem.</u> 32:919-924 (1997)	
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	A108	Autrey and Tahk, "The Synthesis and Stereochemistry of Some Isatylideneacetic Acid Derivatives," <u>Tetrahedron</u> 23:901-917 (1967)	
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		First Named Inventor	Peng Cho TANG
		Group Art Unit	Unassigned
		Examiner Name	Unassigned
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		Attorney Docket Number	034536-1150

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	A111	Baserga, "Oncogenes and the strategy of growth factors," <u>Cell</u> 79:927-930 (1994)	
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	A116	Blake and Jaques, "Anisotropic Effects in alpha-substituted methoxystilbenes," <u>J. Chem. Soc. Perkin II</u> pp. 1660-1663 (1973)	
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	A118	Bolen, "Nonreceptor tyrosine protein kinases," <u>Oncogene</u> 8:2025-2031 (1993)	
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	A120	Bonner et al., "Structure and Biological Activity of Human Homologs of the <i>raf/mil</i> Oncogene," <u>Molecular and Cellular Biology</u> 5:1400-1407 (1986)		
	A121	Borsche et al., "Über nielkernige kondensierte systeme mit heterocyclischen ringen," <u>Liebigs Ann. Chem.</u> 550:160-174 (1941)		
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	A124	Canoira and Rodriguez, "Synthesis of Oxindole Derivatives from N-Alkenyl-o-Chloroanilides with Zero-Valent Nickel Complex," <u>J. Heterocyclic Chem.</u> 22:1511-1518 (1985)		
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	A156	Goldring and Goldring, "Cytokines and cell growth control," <u>Critical Reviews in Eukaryotic Gene Expression</u> 1:301-326 (1991)	
	A157	Gottardis et al., "Estradiol-Stimulated Growth of MCF-7 Tumors Implanted in Athymic Mice: A Model to Study the Tumorstatic Action of Tamoxifen," <u>J. Steroid Biochem.</u> 30(1-6):311-314 (1988)	
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	A162	Houben-Weyl., "Substance Index," <u>Cyclic Compounds V Bicyclic Compounds 1</u> E231:834-1018 (1999)	
	A163	Houck et al., "Dual Regulation of Vascular Endothelial Growth Factor Bioavailability Genetic and Proteolytic Mechanisms," <u>J. Biol. Chem.</u> 267:26031-26037 (1992)	
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	A165	Howard et al., "Synthesis and aldose reductase inhibitory activity of substituted 2(1H)-benzimidazolone- and oxindole-1-acetic acids," <u>Eur. J. Med. Chem.</u> 27:779-789 (1992)	
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		Prior Appl. Filing Date	02/19/2002
		First Named Inventor	Peng Cho TANG
		Group Art Unit	Unassigned
		Examiner Name	Unassigned
Sheet	15	of	26
		Attorney Docket Number	034536-1150

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	A174	Katritzky et al., "Color and Constitution. Part 8[1]. Some Novel Dyestuffs Containing Indoxyl Residues," <u>J. Heterocyclic Chem.</u> 25:1287-1292 (1988)	
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	A218	Rygaard and Povlsen, "Heterotransplantation of a Human Malignant Tumour to 'Nude' Mice," <u>Acta path. microbiol. scand.</u> 77:758-760 (1969)	

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	A240	Stolle, Beilstein Reg. No. 273650, <u>J. Prakt. Chem.</u> , Vol. 2, page 128 (1930)	
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	A245	Tacconi and Marinone, "Preparazione e caratteristiche di alcuni 3-ossindolidenderivati," <u>Ricerca Scientifica</u> 38:1239-1244 (1968)	

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		Prior Appl. Filing Date	02/19/2002
		First Named Inventor	Peng Cho TANG
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	A253	Trost et al. (ed.), " <u>Comprehensive Organic Synthesis</u> ," <u>Selectivity, Strategy & Efficiency in Modern Organic Chemistry</u> 4:478 (1991)	
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	A263	Wahl, "3-benzilidene-5-methyl-1,3-dihydroindol-2-one," <u>Ann. Chim., Database Crossfire, Beilstein Ref. No.</u> 2-21-00-00290, p. 350 (1926)	

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	A272	Wright et al., "Cyclic Hydroxamic Acids Derived from Indole," <u>J. Amer. Chem. Soc.</u> 78:221-224 (1956)	

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